



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DAVID HITZ, ET AL.

Application No.: 09/927,409

Filed: August 10, 2001

For: **File Access Control in a Multi-Protocol  
Filer Server**

Art Group: 2135

Examiner: Gyorf, Thomas A.

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/035,234, filed March 3, 1998) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

09/20/2006 RMEBRAHT 00000045 022666 09927409

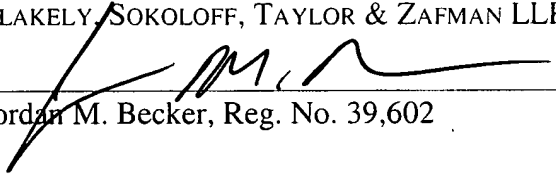
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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

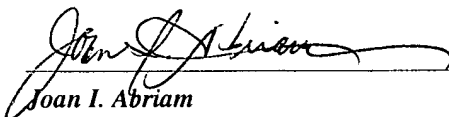
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: September 14, 2006

  
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Jordan M. Becker, Reg. No. 39,602

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (408) 720-8300

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

Application Number	09/927,409
Filing Date	August 10, 2001
First Named Inventor	David Hitz
Art Unit	2135
Examiner Name	Gyorfi, Thomas A.
Attorney Docket Number	5693P288C

Sheet	1	of	1
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code* - Number* - Kind Code* (if known)				
		EP 0 306 244 A2	03-08-1989	Ditlal Equipment Corporation		
		EP 0 321 723 A2	06-28-1989	Honeywell Bull Inc.		
		EP 0 308 056 A2	03-22-1989	IBM Corporation		

Examiner Signature		Date Considered	
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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